

## VIGILANCE ACTIVITIES AND ACHIEVEMENTS

### 15.1. VIGILANCE SET-UP

**15.1.1** The Ministry of Coal exercises superintendence and control over the vigilance administration of 10 Public Sector Undertakings and one Autonomous Body under its jurisdiction as well as over the Ministerial staff. The vigilance set up of the Ministry is headed by a Joint Secretary-cum-Chief Vigilance Officer on part-time basis. He is assisted by a Director, an Under Secretary and a Section Officer. The Vigilance Wings of Coal India Limited, its subsidiaries, Neyveli Lignite Corporation and the Coal Mines Provident Fund Organization are headed by full time Chief Vigilance Officers. There are about 300 executives /non-executives in the vigilance organization of Coal India Limited /its subsidiaries, Neyveli Lignite Corporation and Coal Mines Provident Fund Organization.

**15.1.2** Being the watch-dog of coal and lignite Public Sector Undertakings and the Coal Mines Provident Fund Organization, the Ministry pays special attention to streamlining the procedures and practices prevailing in these organizations, while monitoring

the functioning of the Vigilance Departments.

**15.1.3** Coal India Ltd is the holding company of 8 subsidiary companies spread over the States of West Bengal, Jharkhand, Orissa, Madhya Pradesh, Uttar Pradesh, Chhattisgarh and Maharashtra. The Vigilance Department of Coal India Limited coordinates the activities of the Vigilance Wings of its subsidiaries and also liaisons with the Ministry of Coal, Central Vigilance Commission and Central Bureau of Investigation.

### 15.2 VIGILANCE AWARENESS WEEK

A Vigilance Awareness Week was observed from 29th October 2012 to 3rd November, 2012 in the Ministry of Coal, Coal India Limited, its subsidiary companies, Neyveli Lignite Corporation and Coal Mines Provident Fund Organization. As notified by CVC the main focus of observing Vigilance Awareness period was generation of awareness and publicity against corruption. As a part of observance, a pledge was administered to all public servants. Banners/posters were displayed. The coal companies organized interactive

sessions and various other programmes on the issues of procurement, handling of grievances, material management, improvement of systems /procedures and transparency etc. during the Vigilance Awareness Period.

### 15.3. PREVENTIVE VIGILANCE

**15.3.1** As part of observation of Vigilance Awareness Week – 2012, system improvement suggestions were invited from all the employees of all the subsidiaries and the suggestions received were analysed and examined for considering their implementation by CIL & Subsidiary Companies.

The following suggestions were accepted for implementation:

- (i) Inclusion of a policy in CDA Rules/CCC bringing clarity on vigilance clearance and effect on promotion when a penalty has been imposed.
- (ii) All rules, policies and subsequent amendments may be made available on line in a categorized manner/ searchable formats in company's intranet portal. A dos' and don'ts' suggestion may be created for public procurement, tendering, awarding different contracts based on company rules and CVC guidelines.

- (iii) Connectivity between Subsidiary Sales Office and respective RSO, for better financial accounting, record updation and performance/efficiency monitoring.
- (iv) Change in CDA rule for taking prior permission for taking part in electronic media
- (v) Improvement in MIS, query based search and reporting through IT without much usage of paper.
- (vi) improvement in e-auction procedures.
- (vii) Suggestion in recruitment process and selection thorough campus and open advertisement.
- (viii) Transparency in procurement of HEMM, award of contracts and use of IT in monitoring and automation of data collection.

The concerned HODs have been advised for taking further necessary action for implementation of the above system.

**15.3.2** An Interactive Session was held by the Secretary (Coal) with CMDs and CVOs of Coal India Ltd. and its subsidiaries, NLC and CMPFO on 31.1.2013 to review the functioning of vigilance set up and steps taken to improve their performance.

The theme of vigilance awareness week was "Transparency in public procurement". As part of Vigilance Awareness Week, the following preventive vigilance activities were undertaken:

- 15.3.3 NCL - First time introduced to give "Vigilance Excellence Award" to the persons or group of persons who have done excellent work in the field of innovative techniques. Two Chief Managers and one Sr. Manager were awarded.
- 15.3.4. CCL - 2 Workshops were organized on "Common irregularities in Civil and Purchase contracts"; Seminar on "Award of Transportation & Outsourcing Contracts through e-tendering and Reverse Bidding"; and Workshop on "Transparency in Public Procurement" were organized.
- 15.3.5 MCL- CMD, MCL released the "Vigilance Bulletin-2012". With an objective to make the vigilance set up more citizen centric and a toll free telephone No.18003456795 was launched.
- 15.3.6 BCCL - Inter School slogan writing and drawing competition on the theme 'War against corruption is the mother of all wars' was organized in which students of 9 different schools

of Dhanbad participated. Essay competitions on the topic "Bhrashtachar mukt Bharat is a dream far to be seen" for the executives of BCCL and "Jaalsaaji se safal hone se behtar hai emandari se asafal hona" for the non-executives were also organized.

- 15.3.7 SECL- Vigilance Training Programmes were conducted in which senior executives participated and experienced faculty from CBI, Police, Indian Railways and IICM participated and addressed on various corruption related matters. Four 2/3 days workshops on vigilance awareness were organized by Vigilance Deptt., SECL during Vigilance Awareness Week in which 270 senior level officers participated.
- 15.3.8 WCL - Elocution competition and Essay competition for school children and debate competition for college students on the topic "Role of Transparency in eradicating corruption were organized. A 2 days' workshop and a special lecture was organized on the topic "Departmental inquiries".
- 15.3.8 CMPDIL Slogan writing, Essay writing and an extempore Speech competitions for the employees, their

family members and school going children were organized.

**15.3.9** ECL - 4 seminars on "Transparency in Public Procurement" covering all the areas of ECL were organized. A one day seminar addressed by Shri Amar Mudi, CDI, CVC, Shri Rajeev Ranjan, SP, CBI, Kolkata and Sh. Abhijit Majumdar, Sr. Faculty, IICM Ranchi was organized at ECL Hqrs. and was attended by over 100 senior officers of ECL..

**15.3.10** NLC - In order to create awareness about rules, regulations and procedures among the employees, elocution and essay writing competitions at unit level were conducted in English for executives and in Tamil for non-executives and labours. A short film "Change" was screened at public function to emphasize how leveraging technology could be used as effective tool in combating corruption.

#### 15.4. SURVEILLANCE AND DETECTION:

**15.4.1** All Public Sector Undertakings under the administrative control of Ministry of Coal have identified and prepared the Agreed List of suspected officers for the year 2012-13 in consultation with the Central Bureau of Investigation.

**15.4.2** In CCL, 10 cases were taken up for investigation and 13 cases were completed. Further, 136 Officials were transferred from sensitive posts.

**15.4.3** In ECL, 5 cases were taken up for investigation and 2 were completed. Further, 9 executives and 702 non-executives were transferred from the sensitive posts.

**15.4.4** In NCL, 124 cases were taken up for investigation and 62 cases were completed. Further, 20 surprise checks were carried out.

**15.4.5** In MCL, 8 cases were taken up for investigation during the year. During the period investigation in 6 cases was completed and 26 surprise checks were also carried out.

**15.4.6** In SECL, 25 cases were taken up for investigation and 17 cases were completed.

**15.4.7** In CMPDIL one case was taken up for investigation and completed. Three CTE type inspections were conducted and 7 surprise checks were conducted in the various Regional Institutes.

**15.4.8** In WCL, 60 cases were taken up for investigation, out of which 30 cases were completed. Further, 31 surprise checks were conducted.

**15.4.9** In BCCL, 20 cases were taken up for

investigation, out of which 26 cases were completed. Further, 04 regular cases were registered by the CBI in BCCL during 2011-12. As many as 209 employees were transferred from the sensitive posts.

- 15.4.10** In NLC, 226 cases were taken up for investigation, out of which 183 cases were completed. Further, 144 surprise checks were carried out, out of which 89 have resulted in vigilance cases.

**15.5. PUNITIVE ACTION**

The data regarding position of cases taken up for investigation, departmental inquiry, cases in which penalty was imposed, number of officials placed under suspension, number of regular cases registered by the Central Bureau of Investigation in the coal companies and the Coal Mines Provident Fund Organization (CMPFO) is given below:

Total cases taken up for investigation : 495

Total cases of Departmental Inquiry: 236

Total no. of Regular cases registered by CBI : 17

Total no of officials placed under suspension : 08

Total no of cases in which major

penalty was imposed : 68

Total no of cases in which minor penalty was imposed: 56

**15.6 ONLINE REGISTRATION OF COMPLAINTS:**

- 15.6.1** In MCL dynamic web-site portal for different applications i.e. online registration of complaint and online application for recruitment have started and online submission of EMDs in MCL, Hqrs. has been developed. Recently a provision has been made in the website to enable MM Department to upload the supply order placed by them on regular basis.

- 15.7** E- Tendering with reverse bidding in CCL - In compliance to the instruction of CVC regarding leveraging of Technology to curb corruption, e-tendering with reverse bidding has been introduced in CCL. Till date around 211 numbers of NIT has been floated/ invited through e-tendering through reverse bidding systems relating to transportation work.

- 15.8** E-Procurement – In compliance to the instructions of CVC regarding leveraging of Technology to curb corruption, e-procurement has been introduced in CCL w.e.f April'2010. Two hundred and forty five cases of procurement have been taken up by e-

procurement mode upto Dec.'12, using the portal of Service Provider for e-procurement in order to make award of procurement/ service contracts more transparent.

- 15.9 E-Payment - After rigorous follow-up and interaction of CCL Vigilance, e-payment has been introduced and fully implemented at CCL, HQ & Areas of CCL. Approximately 96.66% payment have been made through e-payment mode in the CCL HQ as well as its Areas.
- 15.10. IT usage and e-governance: NCL has introduced e-payment in a big way. NCL's website has been up-dated to provide for:
- i) A link called CVO's corner;
  - ii) E-publication of "Vigilance Perspective";
  - iii) Information related to Sales & Marketing and Quality Control;
  - iv) Grievance Redressal system cell (GRSC);
  - v) E - p u b l i c a t i o n o f "SPHOORTHY" a compendium on Vigilance matter has been done;
  - vi) The status of contractors/ suppliers bill pending for payment are uploaded and updated at periodic intervals.